

Appendix B: Dealer's Representative – REACH Participation Form

PARTICIPATION ON REACH PLATFORM

REACH is a digital platform on BursaMKTPLC or its successor that allows licensed dealer representatives (DRs) to showcase their investment portfolios to connected clients. Through this platform, DRs can utilize it as a marketing tool to onboard new investors and offer an added service to their existing clients by maintaining investment and trading portfolios as a point of reference. DRs may at their discretion, accept and/or reject requests from users/clients of the REACH platform to connect; and disconnect and/or reconnect users.

Note: In a situation where a team of dealer representatives serves a single client, for example, a CDS account tagged to a primary salaried dealer but also tagged to other salaried dealers (referred to as "associated dealers") from the same team as backups, the CDS account holder is considered a valid client for all these associated dealers.

Your acceptance of these terms and conditions is required to proceed with participation in the REACH program and indicates acknowledges your understanding and agreement to be bound by them until your participation in the REACH program ends or is withdrawn.

Obligations of Participating DR in relation to activities on the REACH platform:

The following are the obligations of the DR who has been appointed by its Participating Organization to participate in the REACH Program ("Participating DR"):

- i. Complete a minimum of three trades per month across investment portfolio (with a holding period of at least 30 days) and trading portfolio (with a holding period of less than 30 days) combined. Trades made by the Participating DR that are displayed on the REACH platform does not need to be aligned with the investment recommendations provided by the Participating DR's Participating Organization's equity research team;
- ii. Sharing or expressing opinions, as well as trading based on non-public information, is strictly prohibited;
- iii. Provide sound reasoning for every trade made that is displayed on the REACH platform, in particular, for stocks with speculative characteristics. Reasons for trades must be based on fundamental analysis, technical analysis, or quantitative analysis, or a combination of these approaches;
- iv. Maintain a combined investment and trading portfolio of at least 3 stocks and no more than 15 stocks;
- v. Make at least three investment-related postings on the REACH platform each month.

Conduct of Participating DR

Participating DR shall agree to conduct itself as follows during its participation in the REACH program:

1. Hold and maintain a valid CMSRL license (license to deal in securities) and meet the licensing criteria of the Securities Commission's under the Securities Commission's Licensing Handbook. The participating DR must inform their Participating Organization ("PO") as soon as practically possible if non-compliance arises;
2. Manage virtual portfolio of trades and provide strategy recommendations;
3. Comply with all relevant laws and regulations related to securities trading. Fraudulent activities will not be tolerated;
4. Be ethical and act professionally in their capacity as a licensed person in any engagement and communication on the REACH platform;
5. Promptly inform its PO if Participating DR is being investigated or facing enforcement action by the Securities Commission Malaysia or any other relevant authorities;
6. Not to disclose any confidential information obtained through participation in the REACH program to any third party;
7. Adhere to these terms and conditions and any modifications, additional rules, or guidelines set by Bursa Malaysia in relation to REACH periodically;
8. Avoid any behavior that could harm the reputation of Bursa Malaysia, its staff, agents, or the PO. This involves refraining from making defamatory comments, spreading false information, misusing the REACH platform, or engaging in any other actions that may be detrimental to the REACH program, Bursa Malaysia, and the PO;
9. Comply with the Personal Data Protection Act (PDPA) for any information obtained during their participation including ensuring the security of all personal data in possession;
10. Conduct themselves professionally on the REACH platform and refrain from any activities that maybe deemed market manipulation. Failure to observe this may result in termination of their participation and potential legal action by the respective enforcement agencies;
11. Use the REACH platform only for the intended purpose of facilitating their client servicing and marketing efforts (client base building) via showcasing of their investment portfolios as part of their service to their clients.

Withdrawal and removal of dealers' representative from REACH

1. A Participating DR may withdraw from participating in the Program by giving notice to its Participating Organization.
2. A Participating DR's participation in the Program may be terminated by its Participating Organization or Bursa Malaysia in the following circumstances:
 - (a) Participating DR ceases to become a DR including non-renewal of license from the Securities Malaysia;
 - (b) Participating DR is in breach of the Securities Commission's licensing criteria in the Licensing Handbook or the any of the terms and conditions applicable to DRs' participation in the Program;
 - (c) Participating DR is being investigated or is facing enforcement action by any relevant authorities (pending judgment) but still holds a valid license from the Securities Commission and/or remains a Registered Person of Bursa Malaysia Securities;
 - (d) Participating DR is suspected of or found to have engaged in misconduct, such as the provision of false information, the exploitation of the REACH platform, or any other inappropriate behavior.
 - (e) Any other circumstances deemed fit by the PO or Bursa Malaysia.

Other terms

1. Bursa Malaysia reserves the right to perform audit checks on the trade and strategies performed by the participating DR at any time throughout the Participating DR's participation in the Program.
2. Participating DR agrees to waive any right to claim any ambiguity or error in the REACH program and/or these terms and conditions and agree to be bound by these terms and conditions and by all decisions of Bursa Malaysia, which are binding and final. Failure to comply with these terms and conditions may result in removal from the REACH program.
3. Bursa Malaysia reserves the right to vary or cancel any or all aspects or features of the REACH platform. The PO shall not claim any compensation against Bursa Malaysia Berhad and/or its group of companies ("Bursa Malaysia Group") for any or all losses or damages suffered or incurred by the PO whether as a direct or indirect result of such variation or cancellation.
4. Bursa Malaysia has the right to publish and/or reproduce any photographs of the Participating DR or any statement or information relayed by the Participating DR, in relation to the Program.
5. Participating DRs shall comply with the Capital Markets and Services Act 2007 and all other applicable laws and regulations at all times and shall not, among others, carry out false trading, market rigging, stock market manipulation, insider trading, bucketing, fraud or make a statement or disseminate information that is false or misleading.

6. Participating DRs shall hold harmless and indemnify Bursa Malaysia Group from and against any and all liability, penalties, damage, loss or expense incurred by or imposed upon Bursa Malaysia Group in connection with any claim, suit, action or demand arising out of or relating to the participation of the Participating DR including in connection with the information, advice, reports including investment reports, materials or articles provided by Participating DR or arising out of the use of names, brand names and logos belonging to or associated with the Participating DRs or arising out of a breach of any intellectual property rights.
7. Participating DRs shall treat as confidential, all information obtained from Bursa Malaysia Group or all information received on behalf of Bursa Malaysia Group which is designated as confidential by Client, or which is by its nature confidential.
8. The terms and conditions in this agreement shall be governed by and construed according to Malaysian law. The parties hereto hereby agree to submit to the exclusive jurisdiction of the Malaysian courts.

Dealer's Representative – Acknowledgement

I agree to participate in the above Program and accept all the terms and conditions stated in Appendix B: Dealer's Representative – REACH Participation Form.

SIGNATURE OF DEALER REPRESENTATIVE

NAME OF DEALER REPRESENTATIVE

Date: