

# Client Digital Onboarding (DOS)

# Remisiers & Dealers Briefing

## 6/6/2024 (Thu)

## About DOS

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**What is DOS ?**

### **Digital Onboarding System**

An online platform for investors to digitally open trading and CDS accounts and start to trade within 1-3 working days.

## When, What and Who

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When is the Launching date ?

DOS will be launched on 18 June 2024



What type of accounts can be opened via DOS?

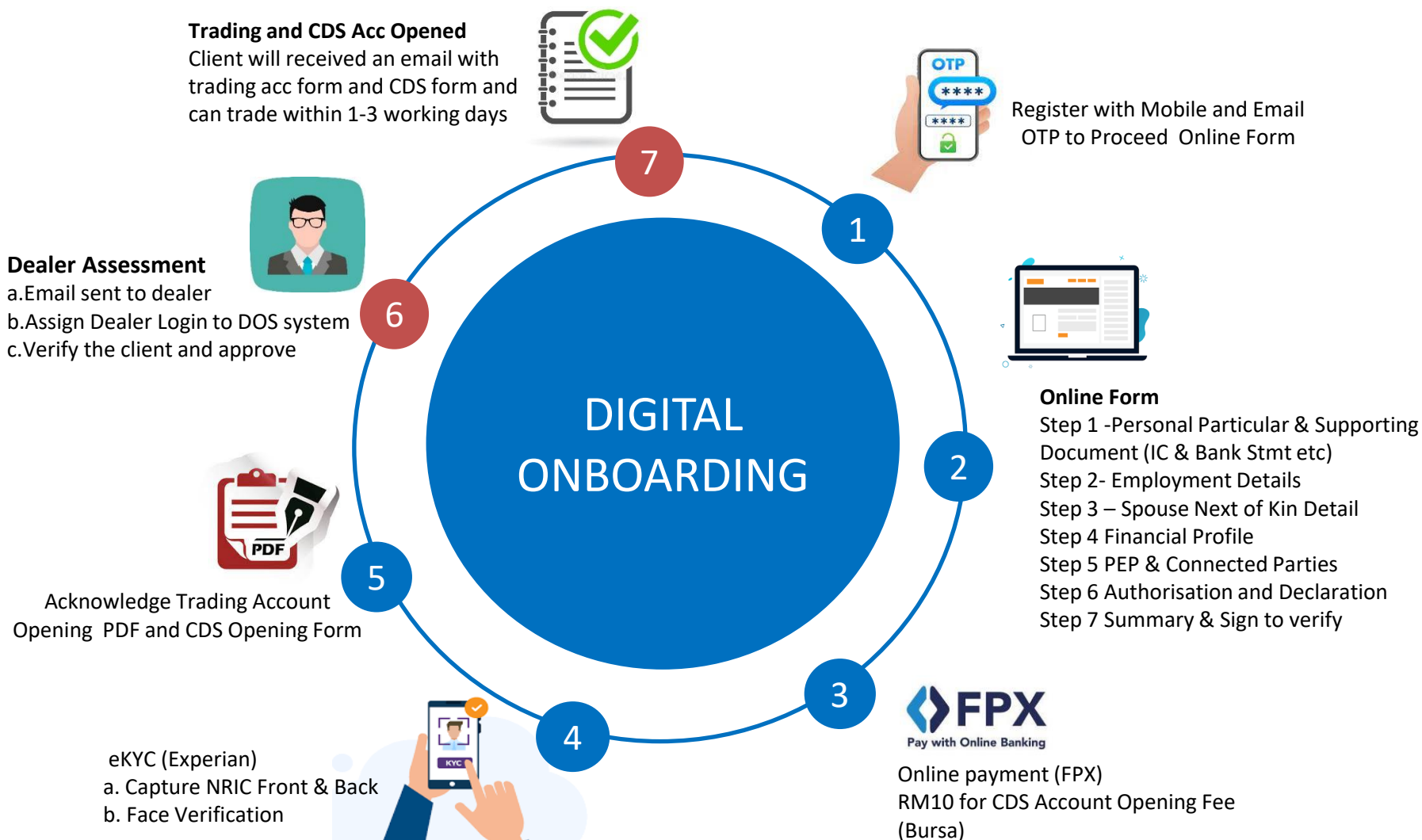
Individual Retail Only



Who can open Trading and CDS account via DOS?

Malaysian Only

# Client Digital Onboarding Process



## How to Access ?

The image shows a screenshot of the TA Securities website. The browser address bar displays 'tasecurities.com.my'. The website header includes the TA Securities logo and navigation links: HOME, ABOUT US, PRODUCT & SERVICES, OPEN ACCOUNT, and CONTACT US. A red arrow points to a 'DOS Landing Page' error overlay, which is a white box with a red border. The overlay contains the text 'Having Trouble? tashdow@ta.com.my or +60321679799' and a large white exclamation mark inside a triangle. Below the error message, there is a 'BLOCKED' label and a 'CLICK HERE' button. The background of the website shows a person's hand typing on a laptop keyboard, with a large white exclamation mark inside a triangle overlaid on the keyboard. The website content includes a section titled 'Open An Account' with a 'Register' button, and a section titled 'Trading Account and CDS Account Application' with a list of steps to get started. A 'READ MORE' button is visible at the bottom left.

TA SECURITIES  
AN UNWAVERING COMMITMENT

HOME ABOUT US PRODUCT & SERVICES OPEN ACCOUNT CONTACT US

**DOS Landing Page**

Having Trouble? tashdow@ta.com.my or +60321679799

**Open An Account**  
Start trading today →

**Trading Account and CDS Account Application**

Thank you for your interest in opening a Trading Account with TA Securities Holdings Bhd and CDS Account (With Bursa Malaysia Depository Sdn Bhd). Here are some important items to take into account:

**Simple Steps To Get Started**

1. Provide your registered email address, name & handphone number for Verification.
2. Create an account.
3. Fill in your personal particulars along with the required documents.
4. Make payment of RM10.00 being CDS Account Opening Fee which is charged by Bursa Malaysia Depository Sdn Bhd and complete eKYC Verification.

Note: Kindly fill in the Dealer Representative (DR) Code, if applicable otherwise leave it blank and we will assign a DR.

**Document(s) Required**

Personal Particulars (BIP) (Business Model B Model)

**OPEN AN ACCOUNT WITH US**  
**SIGN UP ONLINE ACCOUNT FORMS**  
**TRANSACTION COSTS**

**Blocked**

**CLICK HERE**

**READ MORE**

## Client DOS Landing Page

### Open An Account

Start trading today →



## Trading Account and CDS Account Application

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**Note:** Kindly fill in the **Dealer Representative (DR) Code**, if applicable otherwise leave it blank and we will assign a DR.

### Document(s) Required

- ✓ Copy of Original NRIC / Passport (front & back)
- ✓ Malaysia Incorporated Bank Account Statement (Latest 6 Months transactions)
- ✓ Pay Slip / or EA Statement (under employment)

### Declarations

- ✓ Foreign Exchange Act (FEA)
- ✓ Tax Residency (CRS)
- ✓ Terms & Conditions for the CDS and Trading Account Opening

Proceed

## Email and mobile OTP

### Open An Account

Start trading today →



### Create Account

Create your account to continue the trading account registration

Full Name (As Per NRIC / Passport) \*

Email \*

Email OTP \*

Phone No. \*

MY +60	<input type="text"/>	<a href="#">Send OTP (27)</a>
--------	----------------------	-------------------------------

An OTP code has been sent to +60122034646

Mobile OTP \*

Create Account

Or Resume Account Opening

Continue With Email

Continue With Phone Number

# Registration Email (Client)

Welcome to TA Securities Holdings Berhad.



TA e-Services

To LIM CHIN CHEN

Reply

Reply All

Forward

Mon 1:00 PM

If there are problems with how this message is displayed, click here to view it in a web browser.

Dear LIM CHIN CHEN

A warm welcome and thank you for choosing us on your trading journey.  
Here are your signup details.

## Account Details

Name : LIM CHIN CHEN

Email : [limchinchen@ta.com.my](mailto:limchinchen@ta.com.my)

Phone Number : +60123456789

[Get Started](#)

Should you have any further enquiries, please do not hesitate to contact your remiser, dealer or our Customer Service Representative with the below contact details:

Tel No. : [+60321679799](tel:+60321679799)

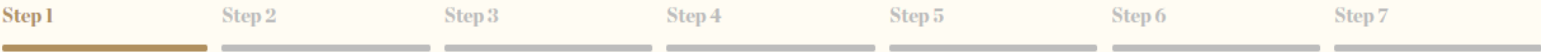
Email : [tashdos@ta.com.my](mailto:tashdos@ta.com.my)

If you're having trouble clicking the "Get Started" button, copy and paste the URL below into your web browser: <https://dr-registration.taonline.com.my/routing/260J2J6rVZypCSEPJofw5FWl8ZggaSiafFviudoCgzwb>

# Step 1 Personal Particular

## Trading Account and CDS Account Application

Register Your Account Now!



### Personal Particular

#### Account Details

Account Type \*

Please select one. Individual Retail

Dealer's Representative (DR) Code ?

Remisier Code

Choose your preferred branch if you dont have a Dealer's Representative (DR) Code.

Preferred Branch

Please select from below options.

- 001 - Ipoh Branch
- 002 - Sibul branch
- 003 - Kuala Lumpur (Principal Office)
- 004 - Kota Bharu Branch
- 005 - Subang Jaya Branch
- 007 - Damansara Utama Branch

## Step 1 Personal Particular

### Customer Info

- ⓘ This information will also be used in Trading and CDS Account Application

Full Name Of Applicant (As Per NRIC / Authority Card) \*

Gender \*

Male

Nationality \*

Malaysian

Please select from below options.

NRIC (New) \*

710501-08-5793

Format: 880818-08-8888

Old NRIC/ Authority Card

Mobile Prefix \*

MY +60

Mobile Number \*

[REDACTED]

Format: 123456789

Contact No. (Home)

0398222222

Email \*

[REDACTED]@COM.MY

Date Of Birth \*

[REDACTED]

Academic Qualification

ADCS

No. Of Dependents

2

Race \*

Chinese

If Others, please specify

Marital Status \*

Married

If Others, please specify

# Step 1 Personal Particular (Cont.)

Registered Address (As Per NRIC)  
- ⓘ This information will also be used in Trading and CDS Account Application

Address Line 1 \*

Address Line 2

Address Line 3

Postcode \*

City / Town \*

State \*

Country \*

Malaysia

▼

## Step 1 Personal Particular (Cont.)

### Correspondence Address ( If Differ From The Above )

- ⓘ This information will also be used in Trading and CDS Account Application

☐ Tick if same with Registered Address

Address Line 1 \*

Address Line 2

Address Line 3

Postcode \*

City / Town \*

State \*

Country \*

ⓘ Please note that the information will be used to apply Trading Account Opening form and CDS Account Opening form (FMN070) which will be submitted to Bursa Malaysia Depository.

Continue

By clicking **Continue** I agree that all information provided are accurate.

## Step 2 Employment Details

### Employment Details

#### Employment Details

Kindly ensure that the details in the financial particulars section are filled out accurately, as the trading limit approval is subject to the information provided.

Employment Status \*

Please select one.



If Others, please specify

Type Of Business

Name Of Employer

Nature Of Business

Contact No. (Office)

Position

Year(S) Of Employment

Address Line 1

Address Line 2

Postcode

City / Town

State

Country

Malaysia

# Step 2 Employment Details (Cont.)

Estimated Networth (RM) \*

Please select one.

Gross Income (RM) / Annum \*

Please select one.

Source Of Fund Employment \*

☐ Yes

☐ No

Source Of Fund Business \*

☐ Yes

☐ No

Source Of Fund Saving \*

☐ Yes

☐ No

Source Of Fund Inheritance \*

☐ Yes

☐ No

Source Of Fund Others \*

☐ Yes

☐ No

Source Of Fund Others Description

Other Income (RM)

Other Income Description (RM)

Back

Continue

By clicking Continue I agree that all information provided are accurate.

## Step 3 Spouse/Next of Kin Details

### Spouse / Next of Kin Details

#### Particulars Of Spouse (If Married) OR Next Of Kin

Name *	
<input type="text"/>	
Relationship *	
<div>Please select one. <span>▼</span></div>	
NRIC NO	Old I/C / Passport
<input type="text"/>	<input type="text"/>
<small>Format: 880818-08-8888</small>	
Employment Status *	
<div>Please select one. <span>▼</span></div>	<div>If Others, please specify</div>
Name Of Employer *	
<input type="text"/>	
Nature Of Business *	Contact No(Office) *
<input type="text"/>	<input type="text"/>
Position *	Year(S) Of Employment *
<input type="text"/>	<input type="text"/>
Address Line 1 *	
<input type="text"/>	
Address Line 2	
<input type="text"/>	
Postcode *	City / Town *

## Step 3 Spouse/Next of Kin Details (Cont.)

State \*

Country \*

Estimated Networth (RM) \*

Gross Income (RM) / Annum \*

Source Of Fund Employment \*

☐ Yes ☐ No

Source Of Fund Business \*

☐ Yes ☐ No

Source Of Fund Saving \*

☐ Yes ☐ No

Source Of Fund Inheritance \*

☐ Yes ☐ No

Source Of Fund Others \*

☐ Yes ☐ No

Source Of Fund Others Description

Other Income (RM)

Other Income Description (RM)

[Back](#)[Continue](#)

By clicking Continue I agree that all information provided are accurate.

## Step 4 – Financial Profile

### Electronic Share Payment (ESP) And Banking Particulars

- ⓘ This information will also be used in Trading and CDS Account Application -E Share Dividend Payment

Deposit to trust \* ☐ Yes ☐ No

Bank Account No. \*

Bank Name \*

Please select from below options.



Bank Branch \*

Account Type \*

Please select one.



Bank Type \*

Please select one.



Joint Account \*

☐ Yes ☐ No

Principal Name

Second Name

### CDS Account Bank Consolidation

Check "Consolidate" if you wish the same bank account information to be used for all your CDS accounts.

Consolidate

☐

Check "Revoke Consolidate" if you wish to revoke a previous request to consolidate (bank account).

Revoke Consolidation

☐

## Step 4 – Financial Profile (Cont.)

### Foreign Account Tax Compliance Act (FATCA) / Common Reporting Standard (CRS)-Self Certification

Pursuant to the requirements of FATCA of the United States of America (US) and CRS regulations by The Organisation for Economic Co-operation and Development (OECD)\*

Are you a US Person for tax purposes under the US Internal Revenue Service (IRS) Regulation? \*

☐ Yes ☒ No

Do you have a tax resident status outside Malaysia? \*

☐ Yes ☒ No

If yes, please state the name of the country(ies) and Tax Identification Number(s) (TIN) **(MANDATORY)**

Country Tax Resident

Please select from below options.



Tax ID No.

Country Tax Resident

Please select from below options.



Tax ID No.

\* For further details, please refer to <https://www.oecd.org/tax/automatic-exchange/common-reporting-standard> or <https://www.irs.gov/businesses/corporations/foreign-account-tax-compliance-act-fatca>.

## Step 4 – Financial Profile (Cont.)

### Investment Objective & Experience

Objective \*

Long Term



If Others, please specify

Investment Experience :

Stock & Shares, Year(s)

☒ Yes ☐ No

5

Futures, Year(s)

☒ Yes ☐ No

2

Structured Products/Other Derivatives, Year(s)

☒ Yes ☐ No

2

### Trading Account

Trading Account with other Broker(s) \*

☒ Yes ☐ No

Trading Account with TA Securities Holdings Berhad (TASHB) prior to this application \*

☐ Yes ☒ No

If Yes, please specify

Back

Continue

By clicking **Continue** I agree that all information provided are accurate.

## Step 5 PEP & Connected Parties

Step 1 ☺

Step 2 ☺

Step 3 ☺

Step 4 ☺

Step 5

Step 6

Step 7

### Politically Exposed Person (PEP) & Particulars of Related / Connected Parties

#### Politically Exposed Person (PEP)

"PEP" means:-

- a) a natural person who is or has been entrusted with "Prominent Public Functions" locally or by a foreign country or entrusted with "Prominent Function" by an international organisation,
- b) family members of such person, or
- c) close associates of such person.


"Prominent Public Functions" include head of state, head of government, government ministers, senior civil servants, senior judicial or military officials, senior executives of state owned corporation or senior political party officials.

"Prominent Functions" refers to member of senior management, i.e. director, deputy director and member of the board or equivalent functions.

Are You, Your Family Member(S) Or Close Associate(S) A PEP Or Was A PEP? \*

☐ Yes ☐ No

Name

 Relationship

Please select one.



Position Held

Employer / Country

## Step 5 PEP & Connected Parties (Cont.)

### Particulars Of Related / Connected Parties

Are You Related To Any Staff / Director / Dealer's Representative Of TASHB? \*

☐ Yes ☐ No

Name



Designation

Relationship

Please select one.

\* Related parties include spouse, partner, partnership, partner's spouse and corporations over which you exercise control.

You are deemed to exercise "control" over a company/ corporation if you or your spouse severally or jointly:

- a) hold, directly or indirectly, more than 50% of the shares of the corporation;
- b) have the power to appoint, or cause to be appointed, a majority of the directors of the corporation; or
- c) has the power to make, or cause to be made, decisions in respect of the business or administration of the corporation and to give effect to such decisions or cause such decisions to be effected.

Do Any Parties Related\* To You Maintain Accounts With TASHB? \*

☐ Yes ☐ No

Name



Relationship

Please select one.

NRIC NO

Name Of Corporation

Name Of Person Having Controlling Interest

% Of Shareholding

Board Representation

Position Held

Are You A Guarantor For Any Accounts Held In TASHB Or Other Subsidiaries Of TA Enterprise Berhad (TAEB)? \*

☐ Yes ☐ No

Account Name



Date Of Guarantee

dd/mm/yyyy

Account No.

Amount Guaranteed?

Back

Continue

By clicking Continue I agree that all information provided are accurate.

## Step 6 – Authorisation & Declaration

### Authorisation & Declaration

#### Authorisation (Optional)

- i** I hereby authorise and empower the following person(s) ("Representatives" which expression shall include their substitutes) to carry out the following functions in my name and on my behalf or otherwise in the name(s) of the abovenamed Representatives to do and execute either jointly or severally as and when the Representatives shall either jointly or severally deem fit:-
- a) To deliver Security Transfer Request Form and/or any other Request Forms duly completed by me to you.
  - b) To collect cheques and monies payable to me from you.
  - c) To carry out all and any other matters (administrative or otherwise) relating to my trading activities with you.

DR Code 1

#### Client's Declaration

**i** To be completed by Applicants who wish to trade in Bursa Multi-Currency Securities and Securities Listed on Recognised Stock Exchange ( Foreign Stock Trading ) - For Malaysian Residents Only

Trade in Foreign Equities \*

☐ Yes ☐ No

Domestic Borrowing(S)

☐ No domestic borrowing

☐ Equal or less than RM 1,000,000.00 per calendar year

☐ More than RM 1,000,000.00 per calendar year

Registration /Approval Id Desc

Source of funds - Own funds

☐ Yes ☐ No

Source of funds - Domestic borrowings

☐ Yes ☐ No

Source of funds - Offshore borrowings

☐ Yes ☐ No

Settle in foreign currency / traded currency

☐ Yes ☐ No

## Step 6 – Authorisation & Declaration (Cont.)

### Application For TA Online Trading System Account Opening

I/We hereby agree that TA Securities Holdings Berhad may from time to time vary the Terms and Conditions of the Service and the charges at its absolute discretion. \*

☒ Yes

I/We understand and agree that all costs and charges incurred by me/us in using the Service shall be borne by me/us and I/we hereby authorize TA Securities Holdings Berhad to debit my/our account with you. \*

☒ Yes

I/We agree that TA Securities Holdings Berhad reserves the right to reject, withdraw or terminate my/our application or usage of the Service without assigning any reasons thereto. \*

☒ Yes

### Required Documents

NRIC

Choose file No file chosen

Bank Statement/Pay Slip/EA Statement

Choose file No file chosen

Back

Continue

By clicking **Continue** I agree that all information provided are accurate.

## Step 7 Summary & Sign

# Trading Account and CDS Account Application

Register Your Account Now!

Step 1 

Step 2 

Step 3 

Step 4 

Step 5 

Step 6 


Step 7

## Summary

### Personal Particulars

Account Type

Individual Retail

Dealer's Representative (DR) Code 

Preferred Branch

001 - Ipoh Branch

Full Name Of Applicant (As Per NRIC / Authority Card)

Gender

Male

Nationality

Malaysian

Please select from below options.

NRIC (New)

Old NRIC/ Authority Card

Format: 880818-08-8888

Mobile Prefix

MY +60

Mobile Number

Format: 123456789

# Step 7 Summary

---

Address Line 3

TAMAN SHAMELIN PERKASA

Postcode

56100

City / Town

CHERAS

State

WP

Country

Malaysia

- Employment Details
- Spouse / Next Of Kin Details
- Financial Profile
- Particulars Of Related & PEP
- Authorisation & Declaration

## Term & Conditions for Trading Account Opening

### Terms and Conditions

#### ELECTRONIC SHARE PAYMENT (ESP) TERMS AND CONDITIONS

1. TASHB shall be entitled, but not bound, to pay the sales proceeds, contra gains and any other monies (the "sales proceeds") arising from the transaction effected through the Trading Account to the designated Bank Account. TASHB shall not be liable for any transaction that is rejected due to unacceptable (e.g. dormant) status of my designated Bank Account. TASHB may, at its option, elect to pay me the sales proceeds by cheque or crediting the proceeds into my account as Trust monies and such election shall be binding on me.
2. In the event that the monies cannot be credited due to unforeseen circumstances, TASHB, may credit the payment into my account as Trust monies without TASHB having to first consult or confirm with me.
3. No payment shall be made by TASHB to the designated Bank Account through the ESP service (the "service") on a non-banking day.
4. TASHB and the participating bank are not responsible for any errors, inaccuracies or omissions (the "omissions") in the information that may be displayed or transmitted by the participating bank to me for the purpose of making electronic payment through the service such as the contract or the contra statement numbers and the amounts due thereunder.
5. Neither TASHB, the participating bank nor their respective agents shall be liable for any loss, consequential loss, damages, costs and charges

☐ I have read and I agree to Terms and Conditions above. (Scroll until bottom & Tick to continue)

Scroll to  
bottom and  
tick agree

## Term & Conditions for CDS Account Opening

### Terms and Conditions



2/2



a. Bursa Malaysia Berhad's Group of Companies (the Group) to enable them to communicate capital market related matters, including new developments and initiatives by the Group, to \*me/us ; and

b. Facilitate the sending of notices and communications from the issuers and Participant Organisations (POs) e.g eDividend notification, contract notes from POs, and notices/circulars from issuers.

\*I/We have read and am/are aware of the personal data notice available at Bursa Malaysia Berhad's website at [www.bursamalaysia.com](http://www.bursamalaysia.com).

vii. I/We expressly consent Bursa Malaysia Depository Sdn Bhd ("Bursa Depository") to disclose to the Company, and/or its agents, service providers and subcontractors of the Company on information and/or documents pertaining to the affairs of my Account with Bursa Depository, and in particular, with relation to the portfolio held in my Trading Account.

viii. The consent provided will be valid until it is revoked by me/us and

ix. Bursa Depository is not liable for any loss, damage, liability, or cost arising from or in connection any disclosure by Bursa Depository.

\*Delete whichever is inapplicable



I have read and I agree to Bursa CDS Account Opening Declaration Statements above. (Scroll until bottom & Tick to continue)

Scroll to  
bottom and  
tick agree



# Client Digital Signature


\*Delete whichever is inapplicable

☒ I have read and I agree to Bursa CDS Account Opening Declaration Statements above. (Scroll until bottom & Tick to continue)

Sign & Confirm for Trading account application and CDS account opening form (FMN070).

Sign Directly

Upload Signature




Clear

Save

Back

Continue

## After Client Signature



Digitally Signed By


LIM C

Date

Time

2024.01.23

09.20.46

 TA SECURITIES

AN UNWAVERING COMMITMENT

# FPX Payment



Having Troubles? tashdos@ta.com.my or +60321679799

## Online Banking

### Pay with (Current and Savings Account)

Email address\*

Total Amount\*

Select bank\*

By clicking on "Proceed" button, you hereby agree with FPX's Terms & Conditions

Cancel

Proceed

Powered by

## Thanks for your payment

**Payment Success**

Date: 2023-06-30

Time: 15:19:11

Pay To: N@m30/PYN.-&B UYER

Bank: SBI BANK A

Amount: 11.00

Reference No: TA\_PAYMENT\_20230630\_1\_1

FPX Transaction ID: 2306301517270287

[Print Receipt](#) [Continue](#)

Powered by

**BANK SIMULATOR**

Sign in to continue

User Id

Password

[Sign in](#) [Cancel](#)

7/21/23, 2:45 PM TA Securities Ltd

**Thanks for your payment**

**Payment Success**

Date: 2023-07-21

Time: 14:44:53

Pay To: N@m30/PYN.-&B UYER

Bank: SBI BANK A

Amount: 11.00

Reference No: TA\_PAYMENT\_20230721\_1\_1

FPX Transaction ID: 2307211443450082

Powered by

[https://id-regulation.banri.com.my/payment/success?reference=TA\\_PAYMENT\\_20230721\\_1\\_1&gateway\\_transaction\\_id=2307211443450082...](https://id-regulation.banri.com.my/payment/success?reference=TA_PAYMENT_20230721_1_1&gateway_transaction_id=2307211443450082...)

# Payment, eKYC Status



Having Troubles? [tashdos@ta.com.my](mailto:tashdos@ta.com.my) or +60321679799

## Pending e-KYC



TAAO202402150000000005

### Payment

Payment is completed.

**Paid At :** 2024-02-15 16:03:47  
**Amount :** 11.00  
**FPX Ref :** 2402151603470201

### Verify It's You

Facial Recognition - complete the e-KYC process to verify your identity.

[Proceed e-KYC](#)


### Account Opening Forms Acknowledgment

Acknowledgment is completed.

Completed At :

[Click Here](#) to update your application &  
Note that you will need to re-sign after changing information.

## eKYC Process




### Send a link to your mobile

#### Send link by email

Send

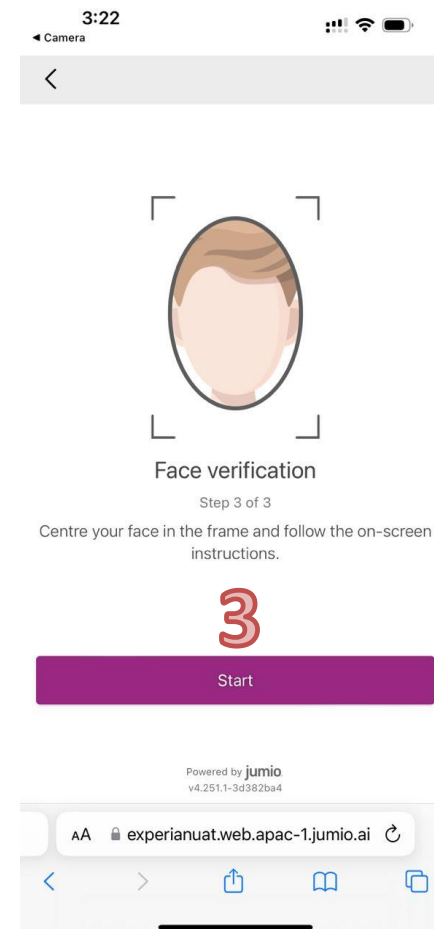
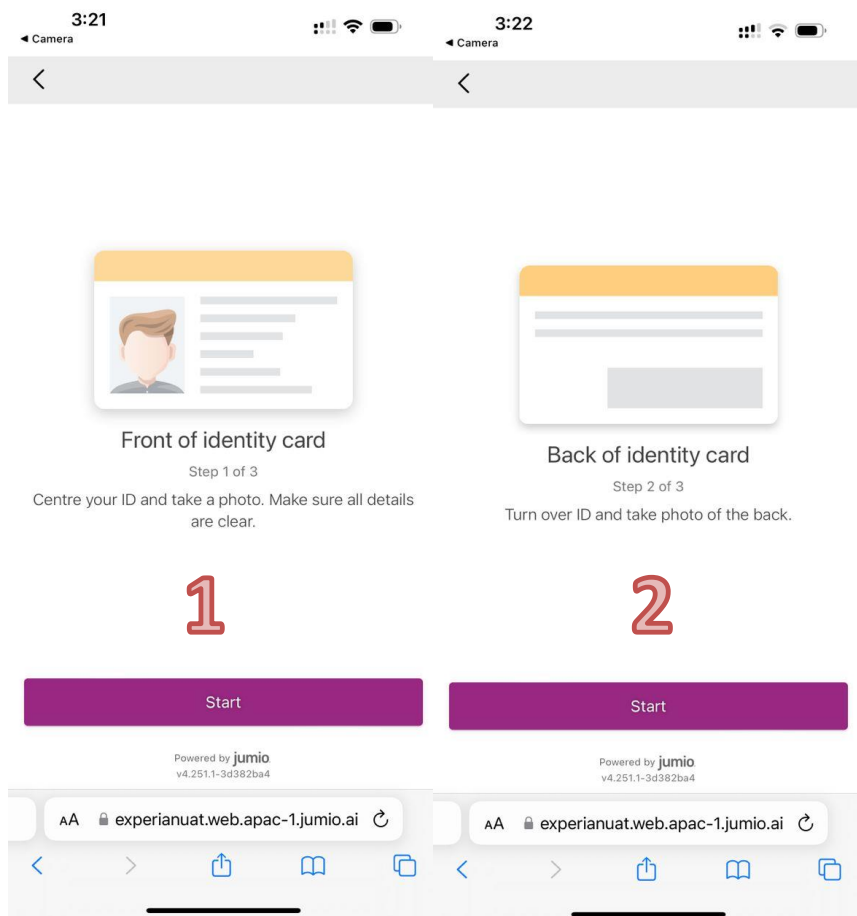
#### Scan QR code instead

Scan this QR code using your mobile camera or QR code app. Please keep this page open while you are using your mobile.



Powered by **jumio**  
v4.251.1-3d382ba4

# eKYC – IC (Front & Back, Face Verification)



## eKYC status on desktop

### Thanks for your effort !

Your e-KYC's result is still under verifying...

**Status :** Verifying Result  
**Last Updated At :** 2023-06-30 15:23:01  
**Reference Number :** 41b65d88-5463-4fc2-b610-5d416b3cea4b

Please wait a while, it might take few minutes or more to process...

Recheck Status



### Thanks for your effort !

✓ e-KYC Completed

**Status :** APPROVED VERIFIED  
**ID Type :** ID CARD  
**Date :** 2023-07-21  
**Time :** 14:49:51  
**Applicant Name :** LIN [REDACTED]  
**Reference Number :** 9e47f8fd-580b-41ec-8ea8-5e550d4e47e6

Continue

# Trading and CDS Forms Acknowledgement

## Pending Forms Acknowledgement

LIM [REDACTED]

TAO202402150000000005

### Payment

Payment is completed.

**Paid At:** 2024-02-15 16:03:47  
**Amount:** 11.00  
**FPX Ref:** 2402151603470201

### Verify It's You

e-KYC is completed.

**Completed At:** 2024-02-15 08:04:29  
**ID Type:** ID CARD  
**Ref Number:** 59725980-cd7c-405c-b05d-0be5fe3eee9b

### Account Opening Forms Acknowledgment

To acknowledge Trading Account Opening Form and CDS Account Opening Form.

Proceed Trading Account Opening Form Acknowledgement (1/2)

 You have completed 2/3 steps!

The documents are now pending acknowledgment.

# Client Acknowledgement - Trading Account Opening Form PDF

## Trading Account Opening Form Acknowledgement (1/2)

LIM [REDACTED]

TAAO202402150000000005

1

1 / 12

67%

1

2

TA SECURITIES

AN UNWAVERING COMMITMENT

APPLICATION FOR OPENING OF TRADING ACCOUNT (INDIVIDUAL)

INSTRUCTIONS FOR COMPLETION OF APPLICATION FORM

1) All information must be completed. Please type or write using BLOCK LETTERS, preferably in black ink.  
2) Each line should contain only one letter. Leave one box between words.  
3) Any amendment made must be legible and should be countersigned by the applicant.  
4) Form that is incomplete, illegible or defaced in any way may result in the application being rejected.  
5) Document to be submitted: a) NRIC or passport (for foreigners) - 2 Copies.  
b) Current payslip or latest income tax returns - 1 Copy; c) Latest bank statement - 2 Copies.

FOR OFFICE USE ONLY

Client Code :  
Dealer Code : JJJ  
CDS A/C : 058 - - - - -

Account Type : ☒ Retail ☐ Margin Trading ☐ Collateralised

PERSONAL DATA

Full Name of Applicant (as per NRIC / Passport / Authority Card)

NRIC (New) [REDACTED] Old NRIC / Passport / Authority Card A 3 5 0 5 5 0 5

Date of Birth 0 4 - 0 5 - 1 9 7 6
Gender ☒ Male ☐ Female

Nationality ☒ Malaysian ☐ Others Race ☐ Bumiputera ☒ Chinese ☐ Indian ☐ Others

Marital Status ☐ Single ☒ Married ☐ Others No. of Dependents 10 Academic Qualification ADCS

Registered Address (as per NRIC)

1 5 B U S I N E S S A N I S T A M E I T I N
2 3 / 9 1 A
T A N S H A W E S T P P K A S
Postcode 5 6 1 0 0
Town CHERAS State WP Country Malaysia

Correspondence Address (if differs from the above)

1 5 B U S I N E S S A N I S T A M E I T I N
2 3 / 9 1 A
T A N S H A W E S T P P K A S
Postcode 5 6 1 0 0
Town CHERAS State WP Country Malaysia

Contact No.

Home Mobile 1 6 0 1 2 2 0 1 3 4 6 4 6

E-mail

C H S I T M @ M - M Y

EMPLOYMENT DATA

Employment Status ☒ Under Employment ☐ Self Employed ☐ Others (Please specify)

I hereby confirm that the information furnished in the above form is true, complete and correct.

Back

Confirm

TA SECURITIES  
AN UNWAVERING COMMITMENT

## LIM

TAAO202402150000000005



AN UNWAVERING COMMITMENT

# DOS Completed

Having Troubles? [tashdos@ta.com.my](mailto:tashdos@ta.com.my) or +60321679799

## Completed 🎉

LIM

TAAO202402150000000005

### Payment ✓

Payment is completed.

**Paid At:** 2024-02-15 16:03:47  
**Amount:** 11.00  
**FPX Ref:** 2402151603470201

### Verify It's You ✓

e-KYC is completed.

**Completed At:** 2024-02-15 08:04:29  
**ID Type:** ID CARD  
**Ref Number:** 59725980-cd7c-405c-b05d-0be5fe3eee9b

### Account Opening Forms Acknowledgment ✓

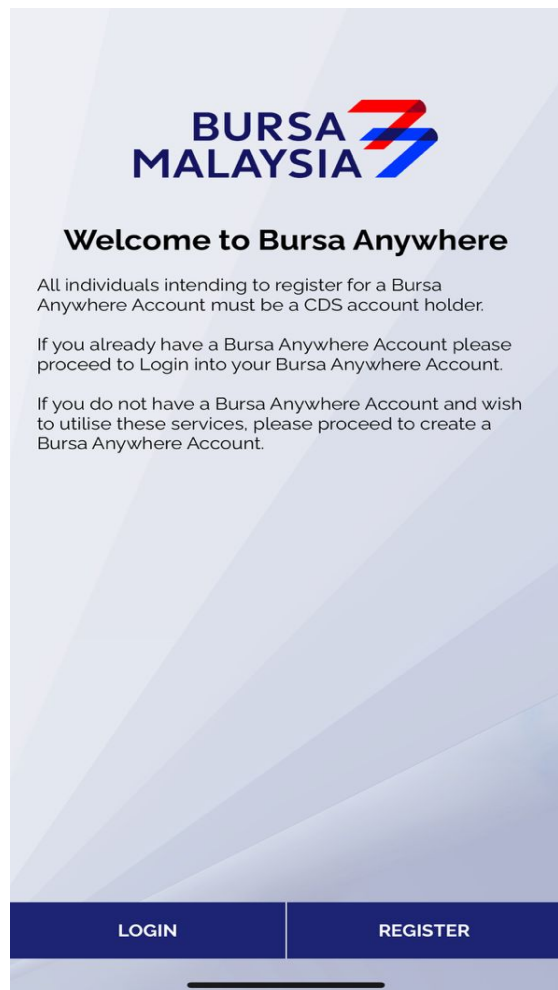
Acknowledgment is completed.

**Completed At:** 2024-02-22 14:27:06

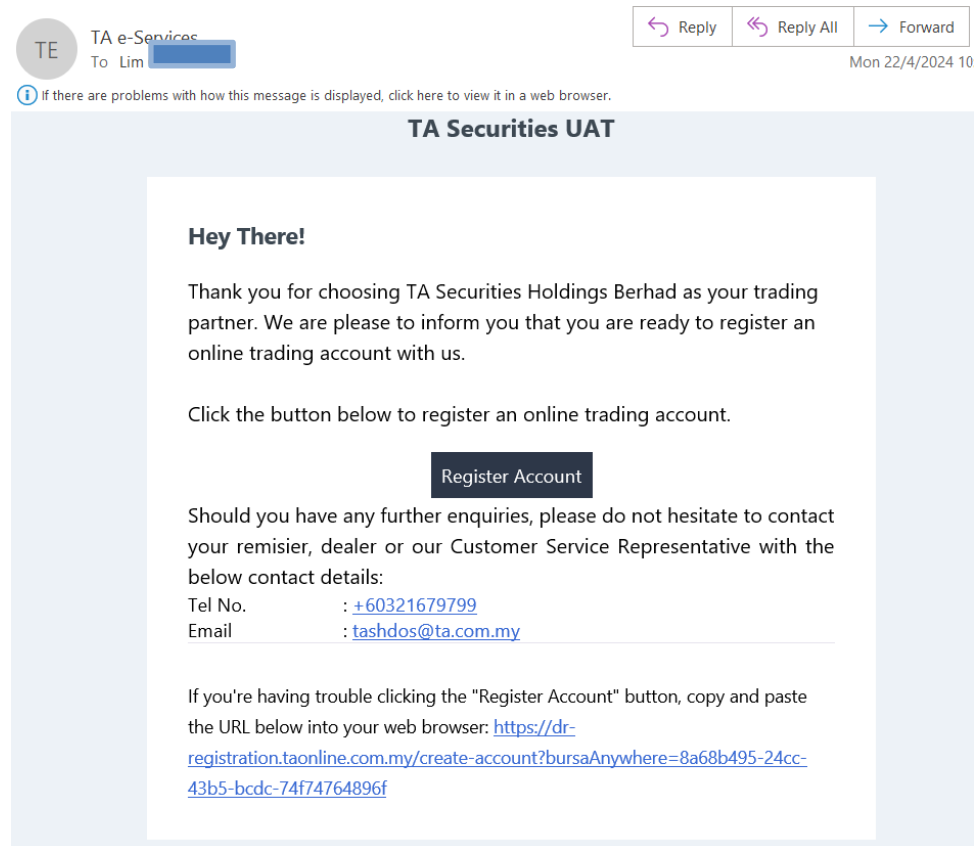
✓ You have completed all the steps!

Your information has been submitted. The review process is expected to take 1-3 business days. Once it is completed, you will be notified by Email. Please be patient and wait for further updates.  
Thank you for choosing TA Securities!

# Client Opened CDS account via Bursa Anywhere



Client will received an email to proceed trading account opening.



## Continue to create account with Email and mobile OTP verification

### Open An Account

Start trading today →



### Create Account

Create your account to continue the trading account registration

Full Name (As Per NRIC / Passport) \*

Email \*

Email OTP \*

 ✓

Phone No. \*

MY +60

Send OTP (27)

An OTP code has been sent to +60122034646

Mobile OTP \*

Create Account

Or Resume Account Opening

✉ Continue With Email

☎ Continue With Phone Number

## Step 1 Personal Particular

# Trading Account and CDS Account Application

Register Your Account Now!

Step 1

Step 2

Step 3

Step 4

Step 5

Step 6

Step 7


Continue from Step 1 to 7

### Account Details

Account Type \*

Please select one.



Dealer's Representative (DR) Code 

Preferred Branch

Please select from below options.



 Choose your preferred branch if you dont have a Dealer's Representative (DR) Code.

# Client Registration Complete

Completed 🎉

LIM [REDACTED]

TAHQ20240215000000005

Payment ✓

Payment is completed.

Paid At :2024-02-15 16:03:47

Amount :11.00

FPX Ref :2402151603470201

Verify It's You ✓

e-KYC is completed.

Completed At :2024-02-15 08:04:29

ID Type :ID CARD

Ref Number :59725980-cd7c-405c-b05d-0be5fe3eee9b

Account Opening Forms Acknowledgment ✓

Acknowledgment is completed.

Completed At :2024-02-22 14:27:06

✓ You have completed all the steps!

Your information has been submitted. The review process is expected to take 1-3 business days. Once it is completed, you will be notified by Email. Please be patient and wait for further updates. Thank you for choosing TA Securities!

After client completed the registration

---



**Remisier Assessment**

## Email Notification to Remisier

---

### Securities Portal

**Dear Sir/Madam**

You have 1 account opening form pending your assessment from client. Kindly complete your assessment and submit the form soonest for our further processing.

Please click the button below to proceed.

**Proceed Assessment**

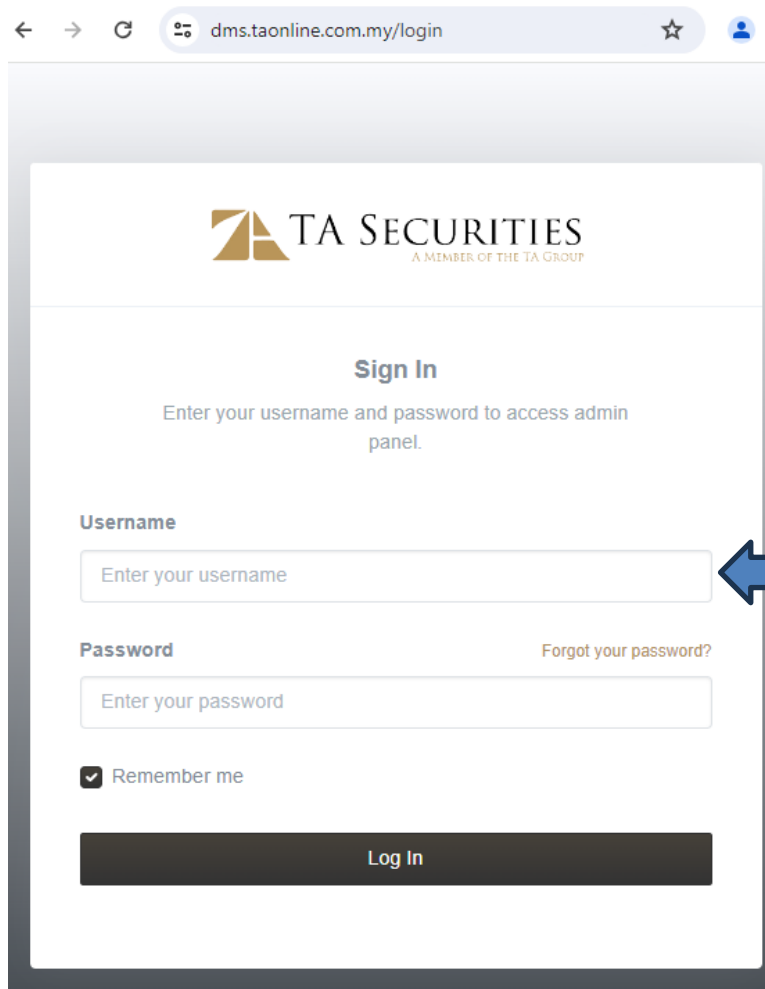
Thank you.

---

If you're having trouble clicking the "Proceed Assessment" button, copy and paste the URL below into your web browser: <http://dr-dms.taonline.com.my/account-registration/1>

## Where to login and what is login ID ?

<https://dms.taonline.com.my>




The screenshot shows a web browser window with the address bar displaying `dms.taonline.com.my/login`. The page features the TA SECURITIES logo at the top, with the tagline "A MEMBER OF THE TA GROUP". Below the logo is a "Sign In" section with the instruction "Enter your username and password to access admin panel." The form includes a "Username" field with the placeholder text "Enter your username", a "Password" field with the placeholder text "Enter your password", and a "Forgot your password?" link. There is a checked checkbox for "Remember me" and a "Log In" button at the bottom.

TC Pro Login ID

## Reset password

Remisier will receive an email for password.



**Set New Password**

User Id

Password

Confirm Password

**Set Password**

[Back to](#) [Log In](#)



**Securities Portal**

**Hey There!**

You've been invited to join Securities Portal A new account has been created for you with the following credentials: Username: 888 Set a password for your account and then login with your username and new password:

**Set Password**

This password reset link will expire in 60 minutes.

Regards,  
Securities Portal

If you're having trouble clicking the "Set Password" button, copy and paste the URL below into your web browser: <http://dr-dms.taonline.com.my/password/reset/be4b6de072ec80b9ae6533b04b431193c6e4f75dfc2ca84cdeb72a034b4bcc24?email=twohotko5%40gmail.com&username=888>

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# Remisier Dashboard



Account Registration ▾

## Dashboard

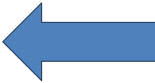
### Quick Access

 Profile

### Account Registration Assigned To Me

Pending Assessment

1



# Pending Assessment

Account Registration ▾

Account Registration

[Home](#) / [Account Registration](#)

- All (1)
- Pending Assessment (1)
- Assessed Application (0)
- Declined (0)
- Completed (0)

Status

Please select one. ▾

Branch

Please select from below options. ▾

Keyword ⓘ

Clear

Search

Status ⬆	Branch ⬆	Reference ⬆	Name ⬆	Mobile ⬆	Email ⬆	Remisier ⬆	Assessment A	Action
Pending Assessment	<div>Branch</div>	TAAO202405130000000001	<div></div>	<div></div>	<div>OM.MY</div>	<div></div>	-	<a href="#">View</a>



Remisier Assessment

Account Registration

DR - Client Assessment

Home / Account Registration / View

Basic Activity Log

Start Assessment

Status	Payment	eKYC	Reference
Pending Assessment	Paid	Completed	TAAO202405130000000001
Created At	Name	Email	Mobile
2024-05-13 14:34:14		TA.COM.MY 2024-05-13 14:34:14	6 2024-05-13 14:34:14
Bursa Anywhere & CDS Number	Preferred Branch	Final Branch	Client Code
No / -	001 - Ipoh Branch	012 - Ipoh Branch	-

Remisier

Assessed By

Documentation

Verified By	Approved By
Assign	Assign

Credit Control

Recommendation	1st Approval	2nd Approval
-	-	N/A

## View Client Registration Info and Supporting Documents

### Summary

Personal Particulars

Expand for detail

Employment Details

Spouse / Next Of Kin Details

Financial Profile

Particulars Of Related & PEP

Authorisation & Declaration

### Documents

1. NRIC

2. Bank Statement

3. Signature

4. Ekyc Result

5. Account Opening Form

6. CDS Account Form

### Comments (0)

There is no comment.

Enter comment here

Submit

# Expanded View for Personal Particulars

Summary

Personal Particulars

Account Type

Individual Retail

Dealer's Representative (DR) Code ?

Preferred branch

001 - Ipoh Branch

Full name of applicant (As per NRIC / Authority Card)

Gender

Male

Nationality

Malaysian

Please select from below options.

NRIC (New)

Format: 880818-08-8888

Old NRIC/ Authority Card

Mobile prefix

MY +60

Mobile Number

Format: 123456789

Documents

1. NRIC
2. Bank Statement
3. Signature
4. Ekyc Result
5. Account Opening Form
6. CDS Account Form

Comments (0)

There is no comment.

Enter comment here

Submit

## View Supporting Documents

### Summary

Personal Particulars



Employment Details



Spouse / Next Of Kin Details



Financial Profile



Particulars Of Related & PEP



Authorisation & Declaration



### Documents

1. NRIC

2. Bank Statement

3. Signature

4. Ekyc Result

5. Account Opening Form

6. CDS Account Form

View Supporting Documents

### Comments (0)

There is no comment.

Enter comment here

Submit

## View Client Supporting Documents

### Summary

Personal Particulars

Employment Details

Spouse / Next Of Kin Details

Financial Profile

Particulars Of Related & PEP

Authorisation & Declaration



**SAMPLE**  
IDENTIFICATION CARD

### Documents

1. NRIC [↕](#)
2. Bank Statement [↕](#)
3. Signature [↕](#)
4. Ekyc Result [↕](#)
5. Account Opening Form [↕](#)
6. CDS Account Form [↕](#)

### Comments (0)

There is no comment.

Enter comment here

[➤ Submit](#)

Remisier Assessment

Account Registration

DR - Client Assessment

Home / Account Registration / View

Basic Activity Log



Start Assessment

Status	Payment	eKYC	Reference
Pending Assessment	Paid	Completed	TAAO202405130000000001
Created At	Name	Email	Mobile
2024-05-13 14:34:14		TA.COM.MY 2024-05-13 14:34:14	6 2024-05-13 14:34:14
Bursa Anywhere & CDS Number	Preferred Branch	Final Branch	Client Code
No / -	001 - Ipoh Branch	012 - Ipoh Branch	-

Remisier

Assessed By

Documentation

Verified By	Approved By
Assign	Assign

Credit Control

Recommendation	1st Approval	2nd Approval
-	-	N/A

## Client Assessment

---

### DR - Client Assessment

#### Investment Trading Behavior \*

Long Term

#### Payment Pattern \*

Normal (Pays for purchases and contra losses when due)

#### Ability to Evaluate Risk \*

Average

#### Risk Capacity \*

RM100,001 - RM250,000

#### Knowledge of Trading Rules \*

Average

## DR's Declaration

### DR's Declaration

Duration I have Known Applicant (Year) \*

1

How do I know Applicant \*

Friend

Credit Limit Recommendation

Non-Margin Account RM \*

50000

Other background information to justify recommendation

Previous dealings with applicant

- ☒ I hereby submit this application to open a trading account with TASHB and request you to approve this application and allow me to act as the DR for the said applicant. As a DR of TASHB, I hereby confirm that all information given herewith by the said applicant is true and correct to the best of my knowledge. I further confirm that the applicant is known to me personally.
- ☒ I shall keep you fully indemnified against any loss, damages, debts, interests, charges and all other costs and expenses incurred or suffered by you in relation to the trading account of the above mentioned applicant.

Declined

Submit Assessment



## Question & Answer

---



## Contact Us

---



03-2167 9799  
Ext: 7000 (HQ)



Reach us via email : [tashdos@ta.com.my](mailto:tashdos@ta.com.my)

# Thank You!

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