

**MEMORANDUM****TO : ALL DEALER'S REPRESENTATIVES (DR)****FROM: THE MANAGEMENT****DATE : 9 JANUARY 2023****RE : NON FACE-TO-FACE VERIFICATION METHODS FOR ACCOUNT OPENING**

We wish to inform that the Management has approved the Non Face-to-Face verification methods for account opening. In cases where the client does not appear in person before the DR to submit the application for opening of account, the Company will now perform the Non Face-to-Face verification methods in verifying the client's identity and the authenticity of the application. The verification by the Company will be through one (1) or more of the following methods:

- a) Receive and encash a personal cheque of the client for an amount of not less than RM100.00 where the name appearing on the cheque and the signature of the client on the cheque must match the name and signature of the client on the account opening of the application form.
- b) Obtain confirmation of the client's identity and relevant details of the client from another entity within TA Group which is a reporting institution under the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 and has previously verified the client's identity in accordance with such entity's standard operating procedures and ensure that the detail of the client obtained from such other entity match the information in the client's account opening application form.
- c) Request the client to make a nominal payment to TA Securities Holdings Berhad from client own account with a licensed bank under the Financial Service Act 2013 or licensed Islamic bank under the Islamic Financial Services Act 2013.

**DR's Duty**

In addition to obtaining the supporting documents stated above, you are also required to do the following:

- a) Conduct face match of your prospective client against their NRIC/Passport via a video call (Whatsapp, Wechat, Facetime...etc.); and
- b) Indicate the date and time of the video call in the Account Opening form.

Please be guided accordingly.

Thank you.

