

**MEMORANDUM**

**TO** : All Dealer's Representatives (DRs)  
**FROM** : The Management  
**DATE** : 25 April 2022  
**RE** : Request for Information

The above matter refers.

The Company has on an on-going basis request for information on clients from DRs. The information requested is important in order for the company to comply with the Regulatory requirements.

As such, we seek your utmost cooperation in providing the information promptly / within the time frame given. The information requested include the following:

1. Request to update your client profile to comply with the "Know-Your-Client (KYC)" requirement.
2. Explanation on large cash payments received from clients or suspicious transactions to comply with Guidelines on AMLA.
3. Settlement of outstanding contracts to comply with T+2 settlement rule.
4. Any other matters that require your immediate reply.

Please take note that failure to reply / provide information when requested will result in non-compliance. The Company will not hesitate to take disciplinary action against the DR for failure to provide or comply with the Company's request.

We wish to inform that any penalty/fine imposed by the Regulators against the Company arising from the non-compliance shall be borne by you.

Please be guided accordingly.

