



TA SECURITIES

A MEMBER OF THE TA GROUP

MEMORANDUM

To : DEALER'S REPRESENTATIVES
From : THE MANAGEMENT
Date : 19 July 2017
Subject : Trading in LEAP Market

Bursa Malaysia Securities Berhad introduced the LEAP Market, a new market aimed at facilitating access by small and medium-sized enterprises to raise capital from the capital market. It is a **qualified market** mainly for **Sophisticated Investors (SI)** only.

The conditions to qualify as a SI is given below:-

- (a) High Net Worth entities - total net assets exceed RM10 million based on the last audited account.
- (b) High Net Worth individuals:-
 - (i) total net personal asset exceed RM3 million.
 - (ii) gross annual income exceed RM300K per annum in the preceding 12 months or
 - (iii) gross joint income (with his/her spouse) exceed RM400K per annum in the preceding 12 months.

If your client qualify as a SI and wish to trade shares under the LEAP market, he/she must complete and submit to us the Client declaration form together with the relevant supporting documents.

For further enquiries, please do not hesitate to contact Documentation officers or Head of Branch at your respective location, for assistance.

Thank You