

MEMORANDUM

To : ALL DEALER'S REPRESENTATIVES
From : THE MANAGEMENT
Date : 31 October 2014
Subject : Know Your Client Requirements (KYC)

In accordance to SC's Guidelines on "Prevention Of Money Laundering and Terrorism Financing", TA Securities Holdings Berhad is required to ensure that all its records of clients are up to date and relevant.

Therefore, we seek your assistance to update your client's account particulars as stated below.

INDIVIDUAL

1. Latest Employment Data,
2. Gross Annual Income/Other Income or Estimated Net worth Statement (Pay Slip or EA form or Acknowledgement Receipt e-BE/B form).
3. Correspondence Address (if any changes).
4. Contact No. (a) Handphone No. (b) House/Office No.

CORPORATIONS/INSTITUTIONS

1. Latest Financial Statement (certified true copy).
2. Correspondence Address (if any changes).
3. Contact Person/Office No.

If you have any enquiry on the above matter, please do not hesitate to call either our documentation officers or Head of Branch at your respective locations, for assistance.

Thank You

